

### United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/747.029

12/21/2000

Ann Union

11362.0031.NPUS00

**CONFIRMATION NO. 3297** 

FORMALITIES LETTER

OC000000005753813\*

FROWREY SIMON ARNOLD & WHITE, LLP 750 Bering Drive Houston, TX 77057-2198

Date Mailed: 02/12/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/04/2001 TBESHAH1 00000054 012508

02 FC:103 03 FC:104 04 FC:105 710.00 CH 36.00 CH 130.00 CH

FILED UNDER 37 CFR 1.53(b) tment date: 10/23/2001 YGIZAW 04/04/2001 TBESHAHI 00000054 012508 Filing Date Granted ::104

09747029

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO, MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$324.
  - \$54 for 3 total claims over 20. V
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 1164.

Fee was pos

Adjustment Date: 10/23/2001 YGIZAW 08/17/2001 HNDDR1 00000074 012508 03 FC:105 130.00 CR

09747029

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





Bux seyn A

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

§

In re Application of:

Ann Union

Henri Moereels

Lydie Meheus

Group Art Unit: 1635

No.: 09/747,029

Examiner:

Filing Date: December 21, 2000

§

Ş

For: **PEPTIDES DESIGNED FOR** 

§ Atty. Dkt.:

DIAGNOSIS AND TREATMENT

Dkt.: INNS:031 11362.0031NPUS00

OF RHEUMATOID ARTHRITIS

# RESPONSE TO NOTICE TO FILE MISSING PARTS of a NONPROVISIONAL APPLICATION DATED FEBRUARY 12, 2001

Box Missing Parts Commissioner for Patents Washington, D.C. 20231 CERTIFICATE OF MAILING 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents and Trademarks, Washington, D.C. 20231, on the date below:

3-28-01

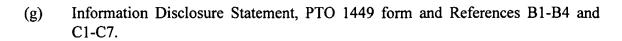
Date

Jennifer L. Purnell

Sir:

In response to the Notice to File Missing Parts dated February 12, 2001 there are enclosed herewith:

- (a) A copy of the Notice to File Missing Parts;
- (b) Declarations executed on behalf of Ann Union, Henri Moereels and Lydie Meheus;
- (c) A signed Election Under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney;
- (d) A Preliminary Amendment and Priority Claim;
- (e) Certified copies of Priority Applications EP99870280.7 and EP00870195.5;
- (f) Sequence listing in ASCII computer readable format and paper copy and Statement as Required under 37 C.F.R. § 1.821(f); and



The Assignment from Ann Union, Henri Moereels and Lydie Meheus to Innogenetics N.V.

ng filed concurrently under separate cover to Box Assignment.

The Commissioner is authorized to deduct the following fees from Deposit Account No. 01-2508/11362.0031.NPUS:

FOR			Large Entity		
Basic Filing Fee					\$ 710.00
Total Claims following Preliminary Amendment	22 - 20	= 2	x \$18	=	\$ 36.00
Multiple Dependent Claim(s)			i		\$ 270.00
Surcharge for late filing of Declaration					\$ 130.00
TOTAL CLAIMS FEES	· · · · ·				\$ 1146.00

Should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, the Commissioner is authorized to deduct said fees from Deposit Account No. 01-2508/11362.0031.NPUS.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,

Patricia A. Kammerer

Reg. No. 29,775

Attorney for Assignee INNOGENETICS N.V.

HOWREY SIMON ARNOLD & WHITE, LLP 750 Bering Drive Houston, TX 77057–2198 (713) 787-1438

Date: March 28, 2001



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplication of:

Ann Union

Henri Moereels

Lydie Meheus

Serial No.: 09/747,029

Commissioner for Patents

Washington, D.C. 20231

Filing Date: December 21, 2000

For: PEPTIDES DESIGNED FOR

DIAGNOSIS AND TREATMENT

OF RHEUMATOID ARTHRITIS

**PATENT** 

Group Art Unit.: 1635

Examiner:

Atty. Dkt.: INNS:031

11362.0031NPUS00

## STATEMENT AS REQUIRED UNDER 37 C.F.R. § 1.821(f)

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below:

Sir:

Submitted herewith is a computer readable form and a paper copy of the sequence listing of those sequences in the captioned patent application. The computer readable form of the sequence listing is the same as the paper copy of the sequence listing. The sequence information provided in the Specification is also the same as the sequence listing of the enclosed computer readable and paper forms of the sequence listing. No new matter is submitted.

If any further information is needed, the Office is requested to contact the undersigned at 713-787-1438.

Respectfully submitted,

HOWREY SIMON ARNOLD & WHITE, LLP 750 Bering Drive

Houston, TX 77057-2198

Tel: (713) 787-1400 Fax: (713) 787-1440

Date: March 2001

Patricia A. Kammerer Reg. No. 29,775

Attorney for Assignee INNOGENETICS N.V.